

# VII. Public-key encryption

## Private-key encryption

- very efficient,
- but needs shared secret key.
- key distribution, key agreement

## Public-key encryption

- no shared keys,
- but less efficient than private-key encryption.
- used in combination with private-key encryption
- hybrid encryption

# Public-key encryption schemes

**Definition 7.1** A public-key encryption scheme is a triple  $(\text{Gen}, \text{Enc}, \text{Dec})$  of ppts such that:

1. **Gen** on input  $1^n$  outputs pair of keys  $(pk, sk)$ .  $pk$  called public key,  $sk$  called secret key,  $|pk|, |sk| \geq n$ .
2. **Enc** on input a public key  $pk$  and a message  $m$  (from set depending on  $pk$ ) outputs a ciphertext  $c, c \leftarrow \text{Enc}_{pk}(m)$ .
3. **Dec** on input a private key and a ciphertext  $c$  outputs a message  $m$  or a special failure symbol  $\perp$ . We assume **Dec** is deterministic and write  $m := \text{Dec}_{sk}(c)$ .

There must be a negligible function  $\mu$  such that for all  $(pk, sk) \leftarrow \text{Gen}(1^n)$  and all possible messages

$$\Pr[\text{Dec}_{sk}(\text{Enc}_{pk}(m)) \neq m] \leq \mu(n).$$

# Public-key encryption



## Alice

- encrypts message  $m$  with  $pk_B$
- sends encrypted message/ciphertext  $c$

## Bob

- generates pair of public key  $pk_B$  and secret key  $sk_B$
- makes  $pk_B$  public
- decrypts with  $sk_B$

# The eavesdropping game

Eavesdropping indistinguishability game  $\text{PubK}_{A,\Pi}^{\text{eav}}$

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$ .
2. A is given  $pk$  and outputs pair of message  $m_0, m_1$  with  $|m_0| = |m_1|$ .
3.  $b \leftarrow \{0, 1\}$ ,  $c \leftarrow \text{Enc}_{pk}(m_b)$  and  $c$  is given to A.
4. A outputs bit  $b'$ .
5. Output of experiment is 1, if  $b = b'$ , otherwise output is 0.

Write  $\text{PubK}_{A,\Pi}^{\text{eav}} = 1$ , if output is 1. Say A has succeeded or A has won.

# The CPA game

## CPA indistinguishability game $\text{PubK}_{A,\Pi}^{\text{cpa}}(n)$

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$ .
2. A is given  $pk$  and oracle access to  $\text{Enc}_{pk}(\cdot)$ .  
Outputs two plaintexts  $m_0, m_1$  with  $|m_0| = |m_1|$ .
3.  $b \leftarrow \{0, 1\}, c \leftarrow \text{Enc}_k(m_b)$ .  $c$  given to A.
4. A continues to have oracle access to  $\text{Enc}_{pk}(\cdot)$ .  
It outputs  $b' \leftarrow \{0, 1\}$ .
5. Output of experiment is 1, if  $b = b'$ , otherwise output is 0.

Write  $\text{PubK}_{A,\Pi}^{\text{cpa}}(n) = 1$ , if output is 1. Say A has succeeded or A has won.

# The indistinguishability game

**Definition 7.2**  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has indistinguishable encryptions under an eavesdropping attack if for every probabilistic polynomial time algorithm  $A$  there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr[\text{PubK}_{A, \Pi}^{\text{eav}}(n) = 1] \leq 1/2 + \mu(n).$$

**Definition 7.3**  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has indistinguishable encryptions under a chosen plaintext attack if for every probabilistic polynomial time algorithm  $A$  there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr[\text{PubK}_{A, \Pi}^{\text{cpa}}(n) = 1] \leq 1/2 + \mu(n).$$

# Eavesdropping, CPAs, multiple encryptions

**Theorem 7.4** A public-key encryption scheme has indistinguishable encryptions under an eavesdropping attack if and only if it has indistinguishable encryptions under a chosen plaintext attack.

**Theorem 7.5** A public-key encryption scheme has indistinguishable encryptions under an eavesdropping attack if and only if it has multiple indistinguishable encryptions under an eavesdropping attack.

# Multiple messages

## Multiple messages eavesdropping game $\text{PubK}_{A,\Pi}^{\text{mult}}(n)$

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$
2.  $A$  is given  $pk$  and on input  $1^n$  generates two vectors of messages  $M_0 = (m_0^1, \dots, m_0^t), M_1 = (m_1^1, \dots, m_1^t)$  with  $|m_0^i| = |m_1^i|$  for all  $i$ .
3.  $b \leftarrow \{0, 1\}, c_i \leftarrow \text{Enc}_{pk}(m_b^i)$ .  
 $C = (c_1, \dots, c_t)$  is given to  $A$ .
4.  $b' \leftarrow A(1^n, C)$ .
5. Output of experiment is 1, if  $b = b'$ , otherwise output is 0.



# From multiple messages to single message

A adversary against  $\text{PubK}_{A,\Pi}^{\text{mult}}(\cdot)$

**A' on input  $1^n$**

1. A', given  $pk$ , runs  $A(pk)$  to obtain  $M_0 = (m_0^1, \dots, m_0^t)$  and  $M_1 = (m_1^1, \dots, m_1^t)$
2. A' chooses  $i \leftarrow \{1, \dots, t\}$  and outputs  $m_0^i, m_1^i$ . A' is given ciphertext  $c^i$ .
3. For  $j < i$ , A' computes  $c^j := \text{Enc}_{pk}(m_0^j)$ .  
For  $j > i$ , A' computes  $c^j := \text{Enc}_{pk}(m_1^j)$ .
4. A' runs  $A(c^1, \dots, c^t)$  and outputs the bit  $b'$  that A outputs.

# Trapdoor permutations

**Definition 7.6** A quadruple  $\Pi = (\text{Gen}, \text{Samp}, f, \text{Inv})$  of ppt's is called a family of trapdoor permutations, if

1.  $\text{Gen}(1^n)$  outputs parameters  $(I, \text{td})$  with  $|I| \geq n$ , where each pair  $(I, \text{td})$  defines a finite set  $D_I = D_{\text{td}}$ .
2. By  $\text{Gen}_I$  denote the algorithm obtained from  $\text{Gen}$  by restricting the output to  $I$ . Then  $(\text{Gen}_I, \text{Samp}, f)$  is a family of one-way permutations.
3.  $\text{Inv}$  is deterministic and on input  $\text{td}$ ,  $y \in D_I$  outputs  $x \in D_I$ . We require that for all  $(I, \text{td}) \leftarrow \text{Gen}(1^n)$  and all  $x \in D_I$ ,  $\text{Inv}_{\text{td}}(f_I(x)) = x$ .

# Function families

**Definition 5.3 (restated)** A triple  $\Pi = (\text{Gen}, \text{Samp}, f)$  of ppts is called a family of functions, if

1.  $\text{Gen}(1^n)$  outputs parameters  $I$  with  $|I| \geq n$ , where each  $I$  defines finite sets  $D_I$  and  $R_I$  for a function  $f_I : D_I \rightarrow R_I$  defined below.
2.  $\text{Samp}(I)$  outputs  $x \leftarrow D_I$ .
3.  $f$  is deterministic and on input  $I$ ,  $x \in D_I$  outputs  $y \in R_I$ ,  $y := f_I(x)$ .

$\Pi$  is a family of permutations, if in addition for all  $I$   $D_I = R_I$  and  $f_I$  is a bijection.

# The inverting game

## Inverting game $\text{Invert}_{A,\Pi}(n)$

1.  $I \leftarrow \text{Gen}(1^n), x \leftarrow \text{Samp}(I), y := f_1(x)$ .
2. A given input  $1^n, I$  and  $y$ , outputs  $x'$ .
3. Output of game is 1, if  $f_1(x') = y$ , otherwise output is 0.

**Definition 5.4 (restated)** A family of functions  $\Pi = (\text{gen}, \text{Samp}, f)$  is called one-way, if for every probabilistic polynomial time algorithm  $A$  there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr[\text{Invert}_{A,\Pi}(n) = 1] \leq \mu(n).$$

# The RSA trapdoor permutation

**Gen**( $1^n$ )      computes 2 n-bit primes  $p, q, p \neq q$ , sets  $N := p \cdot q$ ,  
 $\varphi(N) := (p - 1)(q - 1)$ . It computes  $e, d \in \mathbb{Z}_{\varphi(N)}^*$   
such that  $e \cdot d = 1 \pmod{\varphi(N)}$ . It outputs  $I := (N, e)$ ,  
 $td := (N, d)$ .  $D_I$  is defined as  $\mathbb{Z}_N$ .

**Samp**( $N, e$ )      outputs  $x \leftarrow \mathbb{Z}_N$ .

**f**<sub>( $N, e$ )</sub>( $x$ )      outputs  $c := x^e \pmod{N}$ .

**Inv**<sub>( $N, d$ )</sub>( $c$ )      outputs  $x := c^d \pmod{N}$ .

# Hardcore predicates

**Definition 7.7** Let  $\Pi = (\text{Gen}, \text{Samp}, f, \text{Inv})$  be a family of trapdoor permutations. Let  $hc$  be a deterministic algorithm that, on input  $I$  and  $x \in D_I$ , outputs a single bit  $hc_I(x)$ .

Algorithm  $hc$  is a hardcore predicate for  $\Pi$ , if for every ppt  $A$  there is a negligible function  $\mu$  such that

$$\Pr[A(I, f_I(x)) = hc_I(x)] \leq \frac{1}{2} + \mu(n),$$

where  $(I, td) \rightarrow \text{Gen}(1^n), x \leftarrow D_I$ .

# The RSA trapdoor permutation

**Gen**( $1^n$ ) computes 2  $n$ -bit primes  $p, q, p \neq q$ , sets  $N := p \cdot q$ ,  $\varphi(N) := (p - 1)(q - 1)$ . It computes  $e, d \in \mathbb{Z}_{\varphi(N)}^*$  such that  $e \cdot d = 1 \pmod{\varphi(N)}$ . It outputs  $I := (N, e)$ ,  $td := (N, d)$ .  $D_I$  is defined as  $\mathbb{Z}_N$ .

**Samp**( $N, e$ ) outputs  $x \leftarrow \mathbb{Z}_N$ .

**f**<sub>( $N, e$ )</sub>( $x$ ) outputs  $c := x^e \pmod{N}$ .

**Inv**<sub>( $N, d$ )</sub>( $c$ ) outputs  $x := c^d \pmod{N}$ .

**Fact** The least significant bit is a hardcore predicate for the RSA trapdoor permutation.

# From trapdoor permutations to encryption

**Construction 7.8** Let  $T = (\text{Gen}_T, \text{Samp}, f, \text{Inv})$  be a family of trapdoor permutations, and let  $hc$  be a hardcore predicate for  $T$ . Define the public-key encryption scheme

$\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  with message space  $\{0, 1\}$  as follows:

**Gen:** on input  $1^n$ , run  $\text{Gen}_T$  to obtain  $(I, \text{td})$ . Output the public key  $I$  and the private key  $\text{td}$ .

**Enc:** on input a public key  $I$  and message  $m \in \{0, 1\}$ , choose  $x \leftarrow D_I$  and output ciphertext  $(f_I(x), hc_I(x) \oplus m)$ .

**Dec:** on input a private key  $\text{td}$  and a ciphertext  $(y, s), y \in D_I$ , compute  $x := \text{Inv}_{\text{td}}(y)$  and output  $m := hc_I(x) \oplus s$ .



# From trapdoor permutations to encryption

**Construction 7.8** Let  $T = (\text{Gen}_T, \text{Samp}, f, \text{Inv})$  be a family of trapdoor permutations, and let  $hc$  be a hardcore predicate for  $T$ . Define the public-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  with message space  $\{0,1\}$  as follows:

**Gen:** on input  $1^n$ , run  $\text{Gen}_T$  to obtain  $(I, td)$ . Output the public key  $I$  and the private key  $td$ .

**Enc:** on input a public key  $I$  and message  $m \in \{0,1\}$ , choose  $x \leftarrow D_I$  and output ciphertext  $(f_I(x), hc_I(x) \oplus m)$ .

**Dec:** on input a private key  $td$  and a ciphertext  $(y, s), y \in D_I$ , compute  $x := f_I^{-1}(y)$  and output  $m := hc_I(x) \oplus s$ .

**Theorem 6.9** An encryption scheme as in Construction 6.8 has indistinguishable encryptions under a chosen plaintext attack.

# From adversaries to predictors

A ppt adversary against  $\Pi$  from Construction 7.8.

$A_{hc}$  on input  $l, y \in D_l$

1. Set  $pk = l$  and run  $A(pk)$  to obtain  $m_0, m_1 \in \{0, 1\}$
2. Choose independent random bit  $z$  and  $b$ . Set  $m' := m_b \oplus z$ .
3. Give the ciphertext  $(y, m')$  to  $A$  and obtain an output bit  $b'$ .
4. If  $b = b'$ , output  $z$ ; otherwise output  $\bar{z}$ .

# Encrypting longer messages

$m = m_1 m_2 \dots m_k, m_i \in \{0,1\}$

## First solution :

1.  $x_i \leftarrow D_i, i = 1, \dots, k$

2. Output  $\langle f_1(x_1), m_1 \oplus hc_1(x_1) \rangle, \dots, \langle f_1(x_k), m_k \oplus hc_1(x_k) \rangle$

## Second solution :

1.  $x_1 \leftarrow D_1, x_{i+1} = f(x_i), i = 1, \dots, k$

2. Output  $\langle x_{k+1}, m_1 \oplus hc_1(x_1) \rangle, \dots, \langle m_k \oplus hc_1(x_k) \rangle$

# Trapdoor permutations & hardcore predicates

**Theorem 7.10** If a family of trapdoor permutations  $\Pi$  exists, then a family of trapdoor permutations  $\hat{\Pi}$  together with a hardcore predicate  $hc$  exists.

# Hybrid encryption – have your cake and eat it!

## Private-key encryption

- very efficient,
- but needs shared secret key.
- key distribution, key agreement

## Public-key encryption

- no shared keys,
- but less efficient than private-key encryption.
- used in combination with private-key encryption
- hybrid encryption

# Hybrid encryption – have your cake and eat it!

$\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  public-key encryption scheme

$\Pi' = (\text{Gen}', \text{Enc}', \text{Dec}')$  private-key encryption scheme

$\Pi^{\text{hy}} = (\text{Gen}^{\text{hy}}, \text{Enc}^{\text{hy}}, \text{Dec}^{\text{hy}})$  defined by

**Gen<sup>hy</sup>** on input  $1^n$  run  $\text{Gen}(1^n)$  to obtain  $(\text{pk}, \text{sk})$

**Enc<sup>hy</sup>** on input a public key  $\text{pk}$  and a message  $m \in \{0, 1\}^*$  do

1. choose  $k \leftarrow \text{Gen}'(1^n)$
2. compute  $c_1 \leftarrow \text{Enc}_{\text{pk}}(k)$  and  $c_2 \leftarrow \text{Enc}'_k(m)$ .
3. output ciphertext  $c = (c_1, c_2)$

**Dec<sup>hy</sup>** on input private key  $\text{sk}$  and ciphertext  $c = (c_1, c_2)$  do

1. compute  $k := \text{Dec}_{\text{sk}}(c_1)$
2. output message  $m := \text{Dec}'_k(c_2)$

# Hybrid encryption – have your cake and eat it!

**Theorem 7.11** If  $\Pi$  is a cpa-secure public-key encryption scheme and if  $\Pi'$  is a private key encryption scheme that has indistinguishable encryptions against eavesdropping adversaries, then  $\Pi^{\text{hy}}$  is a cpa-secure public-key encryption scheme.

# Three adversaries – $A_1$

$A^{\text{hy}}$  ppt adversary against public-key encryption scheme  $\Pi^{\text{hy}}$ .

$A_1$  on input  $1^n, \text{pk}$

1.  $A_1$  chooses  $k \leftarrow \{0,1\}^n$  and obtains  $c_1$ , where  $b \leftarrow \{0,1\}$  and  $c_1 = \text{Enc}_{\text{pk}}(k)$  if  $b = 0$ , and  $c_1 = \text{Enc}_{\text{pk}}(0^n)$  if  $b = 1$
2.  $A_1$  runs  $A^{\text{hy}}(\text{pk})$  to obtain two messages  $m_0, m_1$
3.  $A_1$  computes  $c_2 \leftarrow \text{Enc}'_k(m_0)$ , then runs  $A^{\text{hy}}(c_1, c_2)$  and outputs the bit  $b'$  that  $A^{\text{hy}}$  outputs.



# Three adversaries – $A_2$

$A^{\text{hy}}$  ppt adversary against public-key encryption scheme  $\Pi^{\text{hy}}$ .

$A_2$  on input  $1^n, \text{pk}$

1.  $A_2$  chooses  $k \leftarrow \{0,1\}^n$  and obtains  $c_1$ , where  $b \leftarrow \{0,1\}$  and  $c_1 = \text{Enc}_{\text{pk}}(0^n)$  if  $b = 0$ , and  $c_1 = \text{Enc}_{\text{pk}}(k)$  if  $b = 1$
2.  $A_2$  runs  $A^{\text{hy}}(\text{pk})$  to obtain two messages  $m_0, m_1$
3.  $A_2$  computes  $c_2 \leftarrow \text{Enc}'_k(m_1)$ , then runs  $A^{\text{hy}}(c_1, c_2)$  and outputs the bit  $b'$  that  $A^{\text{hy}}$  outputs.

# Three adversaries – A'

$A^{\text{hy}}$  ppt adversary against public-key encryption scheme  $\Pi^{\text{hy}}$ .

A' on input  $1^n$

1.  $k \leftarrow \{0,1\}^n$
2. A' runs  $\text{Gen}(1^n)$  to obtain a key pair  $(pk,sk)$ .
3. A' runs  $A^{\text{hy}}(pk)$  to obtain two messages  $m_0, m_1$  and obtains  $c_2 = \text{Enc}'_k(m_b)$ , where  $b \leftarrow \{0,1\}$ .
4. A' computes  $c_1 \leftarrow \text{Enc}_{pk}(0^n)$ . Then A' runs  $A^{\text{hy}}(c_1, c_2)$  and outputs the bit  $b'$  that  $A^{\text{hy}}$  outputs.

# Summary

- goal and techniques of cryptography
- confidentiality and encryption schemes
- principles of modern cryptography – Kerckhoff's principle
- foundations of cryptography approach
- perfect secrecy and its characterizations
- indistinguishable encryptions and eavesdropping attacks
- pseudorandom generators and encryption schemes with indistinguishable encryptions against eavesdroppers
- multiple encryptions
- chosen plaintext attacks

# Summary

- pseudorandom functions and cpa-secure encryption schemes
- block ciphers as pseudorandom permutations
- Feistel ciphers and DES
- SPNs and AES
- one-way functions and hardcore predicates
- from one-way functions to PRGs
- from PRGs to PRFs
- extension to public-key cryptography
- eavesdrooping and chosen plaintext attacks for public-key cryptography

# Summary

- security for multiple encryptions
- trapdoor permutations and hardcore predicates
- from trapdoor permutations to public-key encryption
- hybrid encryption