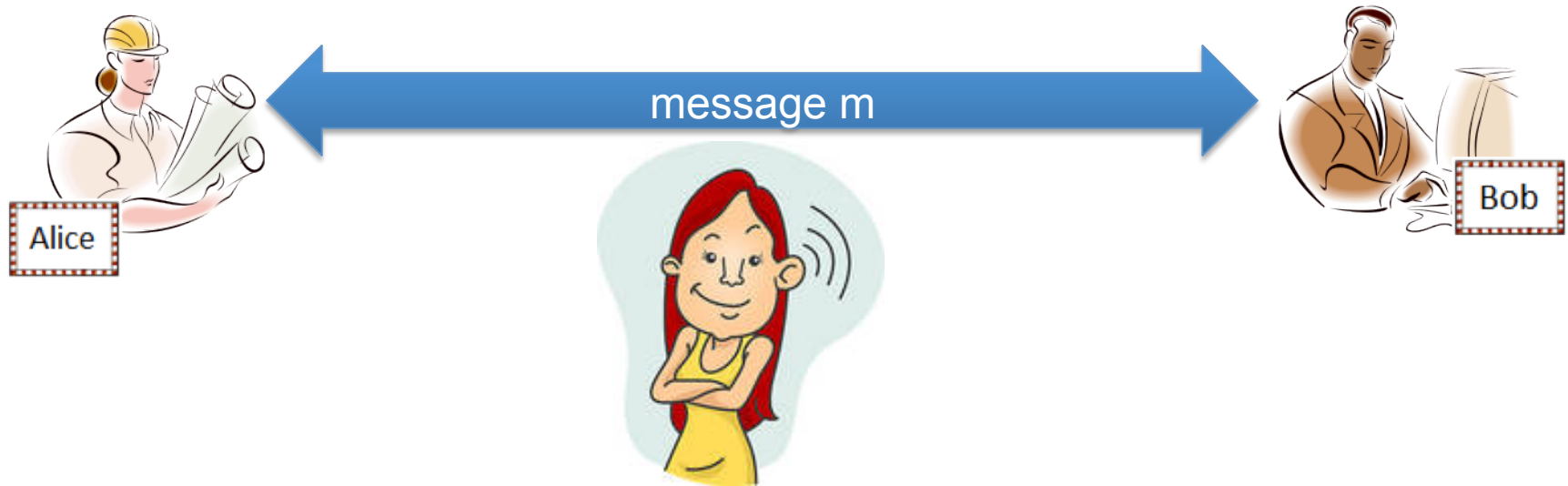


# II. Digital signatures



1. Did Bob send message  $m$ , or was it Eve?
2. Did Eve modify the message  $m$ , that was sent by Bob?

# Digital signatures

## Digital signatures

- are equivalents of handwritten signatures
- guarantee authenticity and integrity of documents
- also guarantee non-repudiation

# Digital signatures

**Definition 2.1** A digital signature scheme  $\Pi$  is a triple of probabilistic polynomial time algorithms (ppts)  $(\text{Gen}, \text{Sign}, \text{Vrfy})$ , where

1.  $\text{Gen}(1^n)$  outputs a key pair  $(\text{pk}, \text{sk})$  with  $|\text{pk}|, |\text{sk}| \geq n$ .
2.  $\text{Sign}$  takes as input a secret key  $\text{sk}$  and a message  $m \in \{0, 1\}^*$  and outputs a signature  $\sigma$ ,  $\sigma \leftarrow \text{Sign}_{\text{sk}}(m)$ .
3.  $\text{Vrfy}$  takes as input a public key  $\text{pk}$ , a message  $m \in \{0, 1\}^*$ , and a signature  $\sigma$ . It outputs  $b \in \{0, 1\}$ ,  $1 \triangleq \text{valid}$ ,  $0 \triangleq \text{invalid}$ .  $\text{Vrfy}$  deterministic,  $b := \text{Vrfy}_{\text{pk}}(m, \sigma)$ .

For every key pair  $(\text{pk}, \text{sk})$  and message  $m$ :

$$\text{Vrfy}_{\text{pk}}(m, \text{Sign}_{\text{sk}}(m)) = 1.$$

# Digital signatures

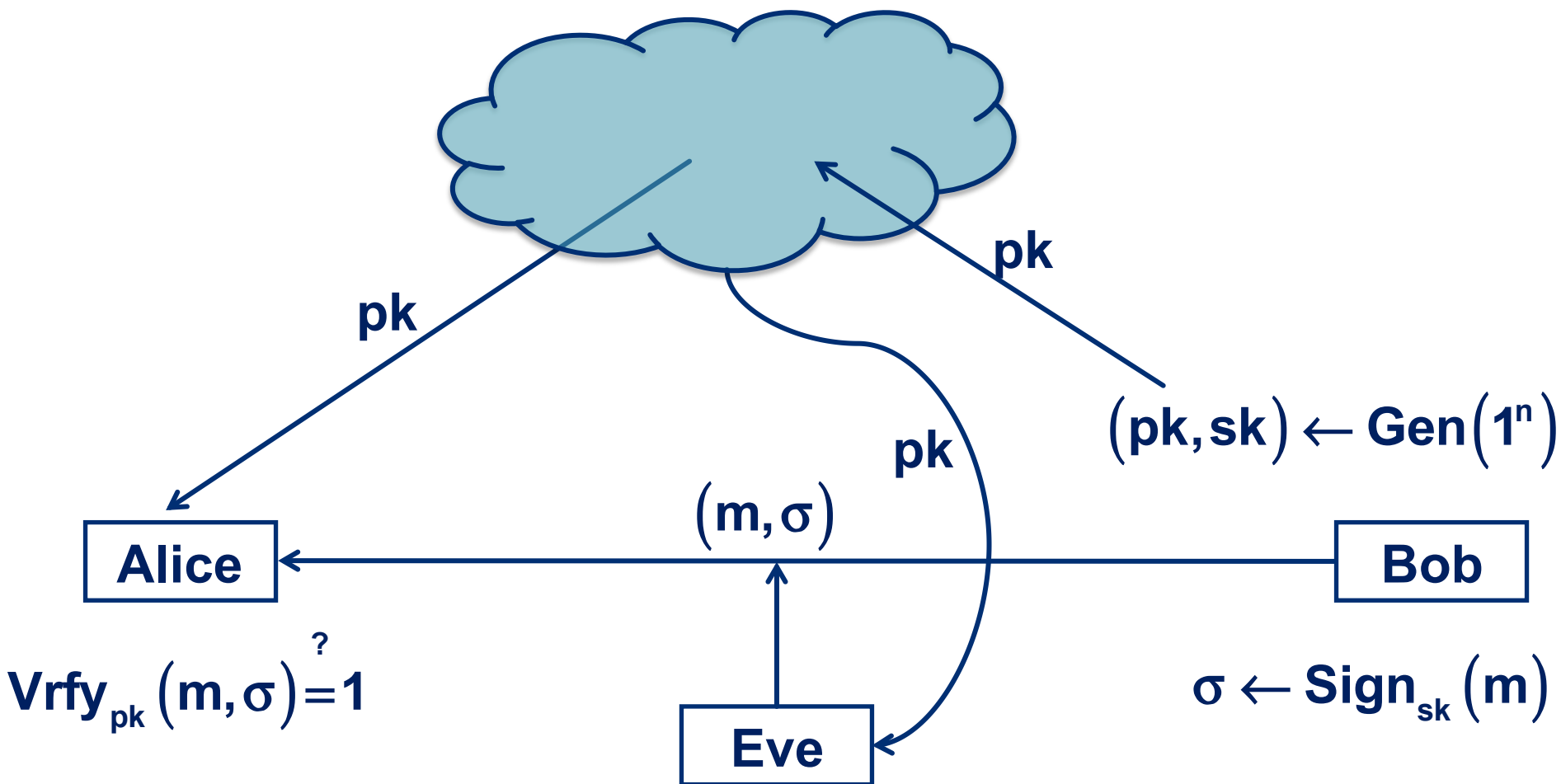
**Definition 2.1** A digital signature scheme  $\Pi$  is a triple of probabilistic polynomial time algorithms (ppts)  $(\text{Gen}, \text{Sign}, \text{Vrfy})$ , where

1.  $\text{Gen}(1^n)$  outputs a key pair  $(pk, sk)$  with  $|pk| = |sk| = n$ .
2.  $\text{Sign}$  takes as input a secret key  $sk$  and a message  $m \in \{0, 1\}^*$  and outputs a signature  $\sigma$ ,  $\sigma \leftarrow \text{Sign}_{sk}(m)$ .
3.  $\text{Vrfy}$  takes as input a public key  $pk$ , a message  $m \in \{0, 1\}^*$ , and a signature  $\sigma$ . It outputs  $b \in \{0, 1\}$ ,  $1 \triangleq \text{valid}$ ,  $0 \triangleq \text{invalid}$ .  $\text{Vrfy}$  deterministic,  $b := \text{Vrfy}_{pk}(m, \sigma)$ .

For every key pair  $(pk, sk)$  and message  $m$ :  $\text{Vrfy}_{pk}(m, \text{Sign}_{sk}(m)) = 1$ .

If  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is such that for every  $(pk, sk)$  output by  $\text{Gen}(1^n)$ , algorithm  $\text{Sign}_{sk}$  is only defined for  $m \in \{0, 1\}^{l(n)}$ , then we say that  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is a signature scheme for messages of length  $l(n)$ .

# Digital signatures



# Security of digital signatures

- An adversary should not be able to compute the signature for an arbitrary message even though he knows the public key of correct signee.
- This should remain true, even if the adversary can get signatures for messages of his choice.
- But the adversary must compute the signature for a new message to be successful.
- Restrict adversaries to efficient ones.
- But adversaries should succeed only with tiny probability.

# The forging game

## Signature forging game $\text{Sig-forge}_{A,\Pi}(n)$

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$ .
2.  $A$  is given  $1^n, pk$  and oracle access to  $\text{Sign}_{sk}(\cdot)$ . It outputs pair  $(m, \sigma)$ .  $\mathcal{Q} :=$  set of queries made by  $A$  to  $\text{Sign}_{sk}(\cdot)$ .
3. Output of experiment is 1, if and only if (1)  $\text{Vrfy}_{pk}(m, \sigma) = 1$ , and (2)  $m \notin \mathcal{Q}$ .

**Definition 2.2**  $\Pi$  is called existentially unforgeable under an adaptive chosen-message attack, or secure, if for every ppt adversary  $A$  there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr[\text{Sig-forge}_{A,\Pi}(n) = 1] \leq \mu(n).$$

# Oracle access

Algorithm  $D$  has **oracle access** to function  $f : U \rightarrow R$ , if

1.  $D$  can write elements  $x \in U$  into special memory cells,
2. in one step receives function value  $f(x)$ .

**Notation** Often write  $D^{f(\cdot)}$  to denote that algorithm  $D$  has oracle access to  $f(\cdot)$ .



# Negligible functions

**Definition 2.3** A function  $\mu:\mathbb{N} \rightarrow \mathbb{R}^+$  is called negligible, if

$$\forall c \in \mathbb{N} \exists n_0 \in \mathbb{N} \forall n \geq n_0 \mu(n) \leq 1/n^c.$$

# RSA signatures - prerequisites

$\mathbb{Z}_N$  := ring of integers modulo N

$\mathbb{Z}_N^*$  :=  $\{a \in \mathbb{Z}_N : \gcd(a, N) = 1\}$

$\phi(N)$  :=  $|\mathbb{Z}_N^*|$

$\gcd(a, m) = 1 \Rightarrow \exists u, v \in \mathbb{Z} \ u \cdot a + v \cdot m = 1$  (EEA)

$\Rightarrow u \cdot a = 1 \pmod m$

$\Rightarrow u = a^{-1} \pmod m$

$$N = \prod_{i=1}^K p_i^{e_i} \Rightarrow \phi(N) = \prod_{i=1}^K (p_i^{e_i} - p_i^{e_i-1}) = N \cdot \prod_{i=1}^K (1 - 1/p_i).$$

# RSA signatures

**Gen( $1^n$ ):** choose 2 random primes  $p, q \in [2^{n-1}, 2^n - 1]$ ,

$N := p \cdot q, e \leftarrow \mathbb{Z}_{\phi(N)}^*, d := e^{-1} \bmod \phi(N)$ ,

$pk := (N, e), sk := (N, d)$ .

**Sign<sub>sk</sub>( $m$ )**  $m \in \{0, 1\}^{2n-2}$  interpreted as element in  $\mathbb{Z}_N$ ,

$\sigma := m^d \bmod N$ .

**Vrfy<sub>pk</sub>( $m, \sigma$ )** output 1, if and only if  $\sigma^e = m \bmod N$ .

# RSA signatures - correctness

For special case  $m \in \mathbb{Z}_N^*$  based on

**Lemma 2.4** Let  $N \in \mathbb{N}$  and  $m \in \mathbb{Z}_N^*$ , then  $m^{\phi(N)} \equiv 1 \pmod{N}$ .

# RSA signatures - efficiency

## Prime generation

1. choose  $p \leftarrow [2^{n-1}, 2^n - 1]$ .
2. Test whether  $p$  is prime, if so output  $p$ , otherwise go back to 1.

## Efficiency based on

1. In  $[2^{n-1}, 2^n - 1]$  many primes exist (prime number theorem).
2. Efficient primality test exist (Miller-Rabin, AKS)

# RSA signatures - efficiency

## Exponent generation

1. choose  $e \leftarrow \mathbb{Z}_{\phi(N)}$ .
2. Test whether  $\gcd(e, \phi(N)) = 1$ , if so compute  $d$  with  $e \cdot d = 1 \pmod{\phi(N)}$ , otherwise go back to 1.

## Efficiency based on

1. In  $\mathbb{Z}_M$  many elements relatively prime to  $M$  exist.
2. Can check efficiently whether  $a, b \in \mathbb{Z}$  are relatively prime using Euclidean algorithm.

# RSA signatures - efficiency

## Efficiency of Sign and Vrfy based on

1. arithmetic in  $\mathbb{Z}_N$  can be done efficiently.
2. Exponentiation requires few arithmetic operations using Square-and-Multiply.

# RSA signatures - forgeries

## existential forgeries

- $\text{Sign}_{sk}(0) = 0$
- $\text{Sign}_{sk}(1) = 1$
- $\text{Sign}_{sk}(-1) = -1$

## selective forgery of $\text{Sign}_{sk}(m)$

- query signature oracle with input  $\hat{m} := 2^e m \bmod N$  and obtain  $\hat{\sigma}$ .
- compute  $\sigma = 2^{-1} \hat{\sigma} \bmod N$ .



# General problem of public-key cryptography

Secret key  $sk$  must not be efficiently computable from public key  $pk$ !

## General problem for RSA

Given  $(N, e)$  element  $d \in \mathbb{Z}_{\phi(N)}^*$  with  $e \cdot d = 1 \pmod{\phi(N)}$  must not be efficiently computable.

**Theorem 2.5** Given  $e, d, N$ ,  $N = p \cdot q$  for primes  $p, q$ , and with  $e \cdot d = 1 \pmod{\phi(N)}$ , then the primes  $p, q$  can be computed in time polynomial in  $\log(N)$ .

# Status of factoring problem

## Two factoring algorithms

- Number field sieve

running time  $\exp\left(\log(N)^{1/3} \cdot \log\log(N)^{2/3}\right)$

- Elliptic curve method

running time  $\exp\left(\log(p)^{1/2} \cdot \log\log(p)^{1/2}\right),$

where  $p$  smallest prime factor

# Existence of secure signatures

**Theorem 2.6** Secure digital signature schemes exist if and only if one-way functions exist.

# Inverting game

$f : \{0,1\}^* \rightarrow \{0,1\}^*$ ,  $A$  a probabilistic polynomial time algorithm

Inverting game  $\text{Invert}_{A,f}(n)$

1.  $x \leftarrow \{0,1\}^n, y := f(x)$ .
2.  $A$  given input  $1^n$  and  $y$ , outputs  $x'$ .
3. Output of game is 1, if  $f(x') = y$ , otherwise output is 0.

Write  $\text{Invert}_{A,f}(n) = 1$ , if output is 1. Say  $A$  has succeeded or  $A$  has won.

# Definition of one-way function

**Definition 2.7**  $f : \{0,1\}^* \rightarrow \{0,1\}^*$  called one-way, if

1. there is a ppt  $M_f$  with  $M_f(x) = f(x)$  for all  $x \in \{0,1\}^*$
2. for every probabilistic polynomial time algorithm  $A$  there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that  $\Pr[\text{Invert}_{A,f}(n) = 1] \leq \mu(n)$ .

**Notation**  $\Pr_{x \leftarrow \{0,1\}^n} [A(f(x)) \in f^{-1}(f(x))] \leq \mu(n)$

# Candidate

$$1. \quad \mathbf{f}_{\text{mult}} : \{0,1\}^* \rightarrow \{0,1\}^* \\ \mathbf{x} \quad \mapsto (\mathbf{x}_1 \cdot \mathbf{x}_2, |\mathbf{x}_1|, |\mathbf{x}_2|),$$

where  $|\mathbf{x}_1| = \lfloor |\mathbf{x}|/2 \rfloor$ ,  $|\mathbf{x}_2| = \lceil |\mathbf{x}|/2 \rceil$ , and identify bit strings and integers via binary representations.

**Idea** Multiplication easy, factoring hard

# Definition of one-way permutation

$f : \{0,1\}^* \rightarrow \{0,1\}^*$  length preserving, if for all  $x$   $|f(x)| = |x|$ .

$f_n := f|_{\{0,1\}^n}$ , restriction of  $f$  to  $\{0,1\}^n$ .

**Definition 2.8** A one-way function  $f : \{0,1\}^* \rightarrow \{0,1\}^*$  is called one-way permutation, if

1.  $f$  is length-preserving,
2. for every  $n \in \mathbb{N}$  the function  $f_n$  is a bijection.

# One-time signatures

## One-time signature forging game $\text{Sig-forge}_{A,\Pi}^{\text{one}}(n)$

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$ .
2. A is given  $1^n, pk$  and may ask single query  $m'$  to  $\text{Sign}_{sk}(\cdot)$ .  
It outputs pair  $(m, \sigma)$ , where  $m \neq m'$ .
3. Output of experiment is 1, if and only if (1)  $\text{Vrfy}_{pk}(m, \sigma) = 1$ .

**Definition 2.8**  $\Pi$  is called existentially unforgeable under a single message attack or 1-time signature, if for every ppt adversary A there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr \left[ \text{Sig-forge}_{A,\Pi}^{\text{one}}(n) = 1 \right] \leq \mu(n).$$



# Lamport's one-time signature

**Construction 2.9**  $f: \{0,1\}^* \rightarrow \{0,1\}^*$ , signature scheme

$\Pi_f = (\text{Gen}, \text{Sign}, \text{Vrfy})$  for messages of length  $l(n)$  defined as:

**Gen**( $1^n$ ):  $\mathbf{x}_{i,b} \leftarrow \{0,1\}^n, \mathbf{y}_{i,b} = f(\mathbf{x}_{i,b}), i = 1, \dots, n, b \in \{0,1\}$ .

$$\mathbf{pk} := \begin{pmatrix} \mathbf{y}_{1,0} & \mathbf{y}_{2,0} & \cdots & \mathbf{y}_{n,0} \\ \mathbf{y}_{1,1} & \mathbf{y}_{2,1} & \cdots & \mathbf{y}_{n,1} \end{pmatrix},$$

$$\mathbf{sk} := \begin{pmatrix} \mathbf{x}_{1,0} & \mathbf{x}_{2,0} & \cdots & \mathbf{x}_{n,0} \\ \mathbf{x}_{1,1} & \mathbf{x}_{2,1} & \cdots & \mathbf{x}_{n,1} \end{pmatrix},$$

**Sign**<sub>sk</sub>( $m$ ): output  $\sigma := (\mathbf{x}_{1,m_1}, \dots, \mathbf{x}_{n,m_n}), m = m_1 \cdots m_n$ .

**Vrfy**<sub>pk</sub>( $m, \sigma$ ): output = 1  $\Leftrightarrow \mathbf{y}_{i,m_i} = f(\mathbf{x}_{i,m_i})$  for  $i = 1, \dots, n$ .

# Lamport's one-time signature

**Theorem 2.10** If  $f$  is a one-way function, then  $\Pi_f$  from Construction 2.9 is a 1-time signature.

$m'$  := message whose signature is requested by A

$(m, \sigma)$  := A's final output

**Adversary A outputs forgery at  $(i, b)$ , if**

- $\text{Vrfy}_{pk}(m, \sigma) = 1$
- $m_i = b$  and  $m_i \neq m'_i$

# From forger to inverter

I on input  $y^*$

1. Choose  $i^* \leftarrow \{1, \dots, n\}, b^* \leftarrow \{0, 1\}$ .
2. For all  $i \in \{1, \dots, n\}, b \in \{0, 1\}$  with  $(i, b) \neq (i^*, b^*)$  do  
    choose  $x_{i,b} \leftarrow \{0, 1\}^n$ , set  $y_{i,b} := f(x_{i,b}), y_{i^*, b^*} := y^*$
3. Simulate A on input  $pk := \begin{pmatrix} y_{1,0} & y_{2,0} & \cdots & y_{n,0} \\ y_{1,1} & y_{2,1} & \cdots & y_{n,1} \end{pmatrix}$
4. When A requests a signature on message  $m'$ :
  - if  $m'_i = b^*$ , stop
  - otherwise return the correct signature  $\sigma = (x_{1,m'_1}, \dots, x_{n,m'_n})$
5. When A outputs  $(m, \sigma)$  with  $\sigma = (x_1, \dots, x_n)$ 
  - if A outputs a forgery at  $(i^*, b^*)$ , output  $x_{i^*}$ .

# What have we achieved, what's missing?

- just a one-time signature, where
- keys are longer than messages
- need to decouple key and message length
- leads to simple, but inefficient and cumbersome signature scheme for messages of fixed, but arbitrary length
- these show that secure signatures schemes can be constructed from 1-way functions
- constructions on other simpler ingredients also known
- key ingredient will be collision-resistant hash functions

# Hash functions

**Definition 2.11** A hash function is a pair  $\Pi = (\text{Gen}, H)$  of ppts, where

1.  $\text{Gen}(1^n)$  takes as input  $1^n$  and outputs a key  $s$ .
2.  $H$  is deterministic, it takes as input  $1^n$ , a key  $s$ , and  $x \in \{0,1\}^*$ . There is a polynomial  $l:\mathbb{N} \rightarrow \mathbb{N}$  such that if  $s$  was generated with input  $1^n$ , then  $H(s, x) \in \{0,1\}^{l(n)}$ .

Write  $H^s(x)$  for  $H(s, x)$ .

If  $H^s$  is defined only for inputs  $x \in \{0,1\}^{l'(n)}$  for some polynomial  $l'$ , then  $\Pi$  is a fixed-length hash function for inputs of length  $l'(n)$ .

# The collision-finding game

## Collision-finding game $\text{Hash-coll}_{A,\Pi}(n)$

1.  $s \leftarrow \text{Gen}(1^n)$ .
2.  $A$  is given  $1^n$  and  $s$ . It outputs  $x, x'$  (with length  $l'(n)$  if  $\Pi$  is fixed-length).
3. Output of experiment is 1, if and only if  $x \neq x'$  and  $H^s(x) = H^s(x')$ . Say  $A$  has found collision.

**Definition 2.12**  $\Pi = (\text{Gen}, H)$  called collision-resistant, if for every probabilistic polynomial time adversary  $A$  there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr[\text{Hash-coll}_{A,\Pi}(n) = 1] \leq \mu(n).$$

# Weaker notions

1. coll.-res.

...

2. 2<sup>nd</sup>-preimage res. given  $s, x$ , find  $x' \neq x$  with  $H^s(x) = H^s(x')$

3. pre-image res. given  $s, y = H^s(x)$ , find  $x'$  with  $H^s(x') = y$

**Fact** Under appropriate assumptions

coll.res.  $\Rightarrow$  2<sup>nd</sup>-preimage res.  $\Rightarrow$  pre-image res.

# A generic attack & birthday paradoxon

$$H^s : \{0,1\}^* \rightarrow \{0,1\}^n \text{ for } s \in \{0,1\}^n$$

On input  $s \in \{0,1\}^n$

1. Choose  $q \in \mathbb{N}$
2.  $x_1, \dots, x_q \leftarrow \{0,1\}^n, y_i := H^s(x_i)$
3. if there exist  $i, j, i \neq j$ , such that  $y_i = y_j$ , output  $(x_i, x_j)$ , otherwise output  $\perp$ .

**Fact** Assume that for all  $x_1, \dots, x_q \in \{0,1\}^*$  pairwise distinct and all  $y_1, \dots, y_q \in \{0,1\}^n$  we have  $\Pr[\forall i: H^s(x_i) = y_i] = 2^{-qn}$ , then

$$\frac{q(q-1)}{2^{n+2}} \leq \Pr[\exists i, j \in \{1, \dots, q\}, i \neq j: y_i = y_j] \leq \frac{q(q-1)}{2^{n+1}}.$$



# Hash-and-Sign

$\Upsilon' = (\text{Gen}', \text{Mac}', \text{Vrfy}')$  sig. scheme with message length  $l(n)$ ,

$\Pi = (\text{Gen}_H, H)$  hash function with hash length  $l(n)$ .

**Construction 2.13** Sig. scheme  $\Upsilon = (\text{Gen}, \text{Sign}, \text{Vrfy})$  defined as:

$\text{Gen}(1^n)$ :  $(pk', sk') \leftarrow \text{Gen}'(1^n), s \leftarrow \text{Gen}_H(1^n),$   
 $pk = (pk', s), sk = sk'$

$\text{Sign}_{sk}(m)$ :  $\sigma := \text{Sign}'_{sk}(H^s(m)).$

$\text{Vrfy}_{pk}(m, \sigma)$  output = 1  $\Leftrightarrow$  1 =  $\text{Vrfy}'_{pk'}(H^s(m), \sigma).$

**Theorem 2.14** If  $\Upsilon'$  is secure and  $\Pi$  is collision-resistant, then  $\Upsilon$  is secure.

# Hash-and-Sign

$A$  := adversary against  $\Upsilon$

**Signature forging game  $\text{Sign-forge}_{A,\Upsilon}(n)$**

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$ .
2.  $A$  is given  $1^n, pk$  and oracle access to  $\text{Sign}_{sk}(\cdot)$ . It outputs pair  $(m, \sigma)$ .  $\mathcal{Q}$  := set of queries made by  $A$  to  $\text{Sign}_{sk}(\cdot)$ .
3. Output of experiment is 1, if and only if (1)  $\text{Vrfy}_{pk}(m, \sigma) = 1$ , and (2)  $m \notin \mathcal{Q}$ .

$\text{Coll} := \exists m' \in \mathcal{Q} : H^s(m') = H^s(m)$

$$\Pr[\text{Sign-forge}_{A,\Upsilon}(n) = 1] \leq \Pr[\text{Sign-forge}_{A,\Upsilon}(n) = 1 \mid \neg \text{Coll}] + \Pr[\text{Coll}]$$

# Collision-finder $A_1$

$A_1$  on input  $1^n$  and  $s \leftarrow \text{Gen}_H$

1. Run  $\text{Gen}'$  to obtain key  $(pk', sk')$ .
2. Simulate  $A$ . Whenever  $A$  queries its Sign-oracle  $\text{Sign}_{sk}(\cdot)$  on a message  $m'$ , do:
  - a) Compute  $h := H^s(m')$ .
  - b) Compute  $\sigma' := \text{Sign}_{sk'}(h)$  and return  $\sigma'$  to  $A$ .
3. Let  $Q$  be the set of queries made by  $A$  and let  $(m, \sigma)$  be  $A$ 's answer. If there is an  $m' \in Q$  with  $H^s(m') = H^s(m)$ , return the pair  $(m, m')$ , otherwise return "failure".

# Sign-forgery $A_2$

$A_2$  on input  $1^n$  and oracle access to  $\text{Sign}'_{sk'}(\cdot)$

1. Run  $\text{Gen}_H$  to obtain key  $s$ .
2. Simulate  $A$ . Whenever  $A$  queries its Sign-oracle  $\text{Sign}_{sk}(\cdot)$  on a message  $m'$ , do:
  - a) Compute  $h := H^s(m')$ .
  - b) Query  $\text{Sign}'_{sk'}(\cdot)$  on input  $h$  to obtain  $\sigma' := \text{Sign}'_{sk'}(h)$ , return  $\sigma'$  to  $A$ .
3. Let  $Q$  be the set of queries made by  $A$ . If  $A$  returns a pair  $(m, t)$  such that  $H^s(m) \neq H^s(m')$  for all  $m' \in Q$ , then return pair  $(H^s(m), t)$ , otherwise return "failure".

# Stateful signatures

**Definition 2.15** A stateful signature scheme  $\Pi$  is a triple of probabilistic polynomial time algorithms (ppts)  $(\text{Gen}, \text{Sign}, \text{Vrfy})$ , where

1.  $\text{Gen}(1^n)$  outputs a key pair  $(\text{pk}, \text{sk})$  with  $|\text{pk}|, |\text{sk}| \geq n$  and a state  $s_0$ .
2.  $\text{Sign}$  on input a secret key  $\text{sk}$ , a state  $s_{i-1}$ , and message  $m \in \{0, 1\}^*$ , outputs a signature  $\sigma$  and a state  $s_i$ .
3.  $\text{Vrfy}$  takes as input a public key  $\text{pk}$ , a message  $m \in \{0, 1\}^*$ , and a signature  $\sigma$ . It outputs  $b \in \{0, 1\}$ .

For every key pair  $(\text{pk}, \text{sk})$ , state  $s_0$ , and message  $m$ :

$$\text{Vrfy}_{\text{pk}} \left( m, \text{Sign}_{\text{sk}, s_{i-1}}(m) \right) = 1.$$

# Stateful signatures - remarks

1. If  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is such that for every  $(pk, sk)$  output by  $\text{Gen}(1^n)$ , algorithm  $\text{Sign}_{sk}$  is only defined for  $m \in \{0, 1\}^{l(n)}$ , then we say that  $(\text{Gen}, \text{Sign}, \text{Vrfy})$  is a stateful signature scheme for messages of length  $l(n)$ .
2. The verification algorithm does not need the state to verify signatures.

# From 1-time signatures to stateful signatures

$\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$  (1-time) signature scheme.

$l = l(n) :=$  number of signatures to be computed (known in advance)

$\Pi' = (\text{Gen}', \text{Sign}', \text{Vrfy}')$

$\text{Gen}'$  runs  $\text{Gen}$  to obtain  $l$  pairs  $(pk_i, sk_i)$ , state  $s$  set to 1.

$pk$  is the sequence of public keys  $pk_i$ ,  $sk$  is the sequence of secret keys  $s_i$ .

$\text{Sign}'$  on input  $sk, s$  and message  $m$ , sets  $\sigma \leftarrow \text{Sign}_{sk_s}(m)$ ,  $s := s + 1$ .

$\text{Vrfy}'$  on input  $(m, \sigma)$  outputs 1, iff there is an  $i \in \{1, \dots, l\}$  such that  $\text{Vrfy}_{pk_i}(m, \sigma) = 1$ .

# From 1-time signatures to stateful signatures

$\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$  (1-time) signature scheme for messages of length  $2n$  and such that  $\text{Gen}(1^n)$  outputs public keys of length  $n$ .

$\Pi' = (\text{Gen}', \text{Sign}', \text{Vrfy}')$ , stateful for messages of length  $n$ .

$\text{Gen}'$  runs  $\text{Gen}$  to obtain a pair  $(\text{pk}, \text{sk}) = (\text{pk}_1, \text{sk}_1)$ , state  $s$  is the empty string  $\epsilon$ .

$\text{Sign}'$  on input  $\text{sk}, s$  and message  $m_i$ , runs  $\text{Gen}$  to obtain  $(\text{pk}_{i+1}, \text{sk}_{i+1})$ ,  $\sigma_i \leftarrow \text{Sign}_{\text{sk}_i}(m_i \parallel \text{pk}_{i+1})$  and add  $(m_i, \text{pk}_{i+1}, \text{sk}_{i+1}, \sigma_i)$  to the state.

The signature for  $m_i$  is  $\{(m_j, \text{pk}_{j+1}, \sigma_j)\}_{j=1}^{i-1}$  and  $(\text{pk}_{i+1}, \sigma_i)$ .

$\text{Vrfy}'$  on input  $(\text{pk}_{i+1}, \sigma_i, \{(m_j, \text{pk}_{j+1}, \sigma_j)\}_{j=1}^{i-1})$  outputs 1, iff

$\text{Vrfy}_{\text{pk}_j}(m_j \parallel \text{pk}_{j+1}, \sigma_j) = 1$  for  $j = 1, \dots, i$ .



# Tree-based signatures

$\Pi = (\text{Gen}, \text{Sign}, \text{Vrfy})$  (1-time) signature scheme for messages of length  $2n$  and such that  $\text{Gen}(1^n)$  outputs public keys of length  $n$ .

For  $m \in \{0,1\}^*$  denote by  $m|_i$  the prefix of  $m$  of length  $i$ .

$\Pi^* = (\text{Gen}^*, \text{Sign}^*, \text{Vrfy}^*)$  is a stateful signature scheme for messages of length  $n$ .

$\text{Gen}^*$  on input  $1^n$  : compute  $(pk_\epsilon, sk_\epsilon)$ , output public key  $pk_\epsilon$  and state  $s = sk_\epsilon$ .

# Tree-based signatures - Sign

**Sign\*** on input  $m \in \{0,1\}^n$  and state:

1. for  $i = 0$  to  $n - 1$ :

– if  $pk_{m|i,0}, pk_{m|i,1}$ , and  $\sigma_{m|i}$  are not in the state, compute

$(pk_{m|i,0}, sk_{m|i,0}) \leftarrow \text{Gen}(1^n), (pk_{m|i,1}, sk_{m|i,1}) \leftarrow \text{Gen}(1^n)$ , and

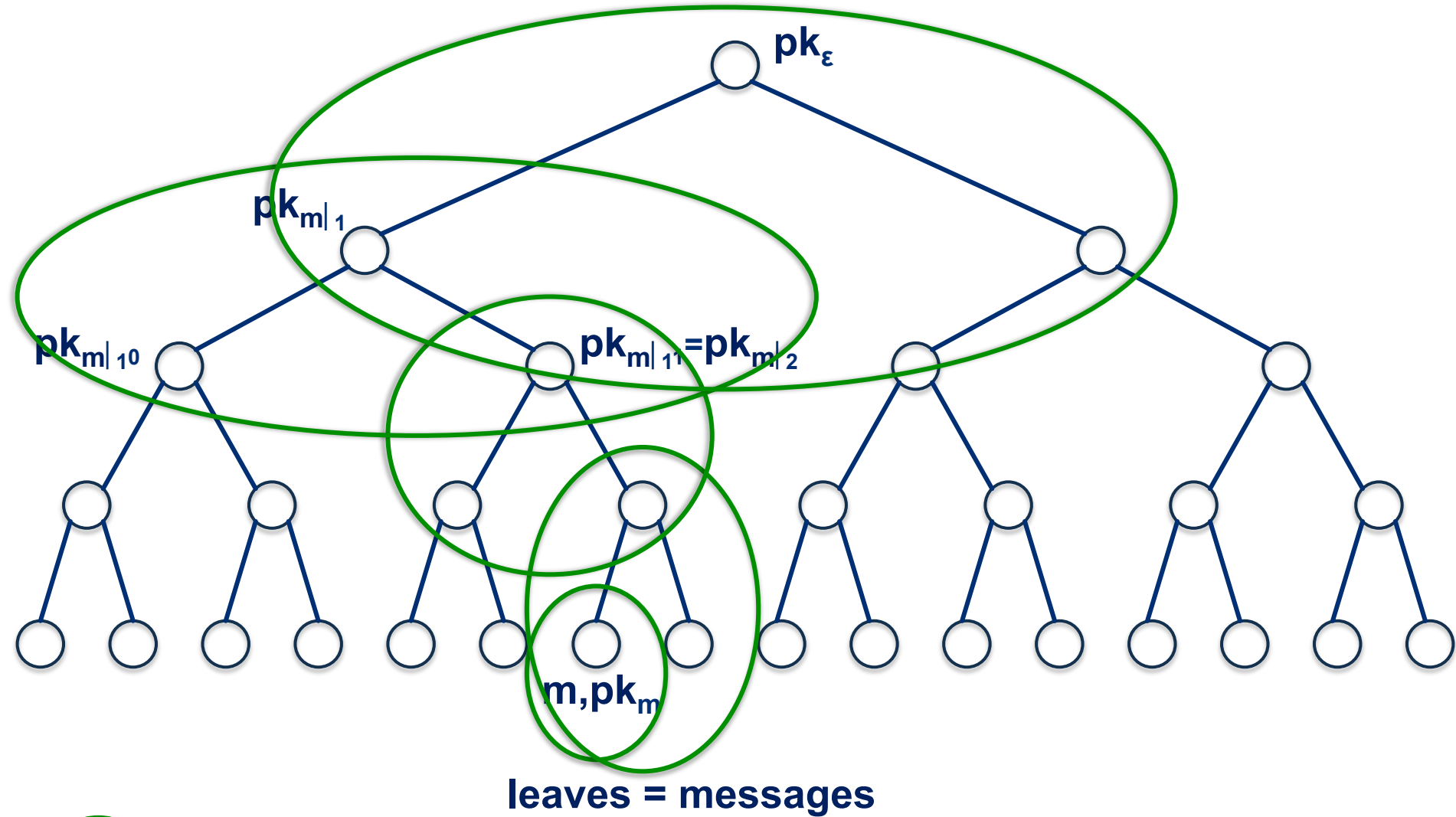
$\sigma_{m|i} \leftarrow \text{Sign}_{sk_{m|i}}(pk_{m|i,0} || pk_{m|i,1})$ . Add these values to state.

2. if  $\sigma_m$  is not in the state, compute  $\sigma_m \leftarrow \text{Sign}_{sk_m}(m)$ .

3. output the signature  $(\{(\sigma_{m|i}, pk_{m|i,0}, pk_{m|i,1})\}_{i=0}^{n-1}, \sigma_m)$ .

**Remark:** **Sign\*** uses each key on at most one message.

# Tree-based signatures



leaves = messages

 key in parent node to compute signature of concatenation of public keys in children.

# Tree-based signatures - Vrfy

$\text{Vrfy}^*$  on input a public key  $\text{pk}_\epsilon$ , message  $m$ , and signature  $(\{(\sigma_{m_i}, \text{pk}_{m_i,0}, \text{pk}_{m_i,1})\}_{i=0}^{n-1}, \sigma_m)$ , output 1, iff

1.  $\text{Vrfy}_{\text{pk}_{m_i}}(\text{pk}_{m_i,0} \parallel \text{pk}_{m_i,1}, \sigma_{m_i}) = 1$  for  $i = 0, \dots, n-1$
2.  $\text{Vrfy}_{\text{pk}_m}(m, \sigma_m) = 1$ .

**Theorem 2.16** If  $\Pi$  is a one-time signature, then  $\Pi^*$  is a secure signature scheme for messages of length  $n$ .

# From $A^*$ to $A$ (1)

$A$  on input public key  $pk$ :

- choose random index  $i^* \leftarrow \{1, \dots, l^*\}$ . Construct list  $pk^1, \dots, pk^{l^*}$  of keys as follows:
  - set  $pk^{i^*} := pk$
  - for  $i \neq i^*$ , compute  $(pk^i, sk^i) \leftarrow \text{Gen}(1^n)$ .
- run  $A^*$  on input  $pk_\epsilon = pk^1$ . When  $A^*$  requests a signature on  $m$ , do:
  1. for  $i = 0$  to  $n - 1$ :
    - if the values  $pk_{m|i,0}, pk_{m|i,1}$ , and  $\sigma_{m|i}$  have not been defined, set  $pk_{m|i,0}, pk_{m|i,1}$  to the next unused keys  $pk^j, pk^{j+1}$ , and compute signature  $\sigma_{m|i}$  on  $pk_{m|i,0} \parallel pk_{m|i,1}$  with key  $pk_{m|i}$ .
  2. if  $\sigma_m$  is not yet defined, compute a signature  $\sigma_m$  on  $m$  with key  $pk_m$ .
  3. give  $(\{(\sigma_{m|i}, pk_{m|i,0}, pk_{m|i,1})\}_{i=0}^{n-1}, \sigma_m)$  to  $A^*$ .

# From $A^*$ to $A$ (2)

- if  $A^*$  outputs a valid signature  $(\{(\sigma'_{m_i}, pk'_{m_i,0}, pk'_{m_i,1})\}_{i=0}^{n-1}, \sigma'_m)$  on message  $m$ , then

**case 1:** if there is a  $j \leq n - 1$  such that  $pk'_{m|j,0} \neq pk_{m|j,0}$  or

$pk'_{m|j,1} \neq pk_{m|j,1}$ , take minimal  $j$  and let  $i$  be such that

$pk^i = pk'_{m|j} = pk_{m|j}$ . If  $i = i^*$ , output  $(pk'_{m|j,0} || pk'_{m|j,1}, \sigma'_{m|j})$ .

**case 2:** if case 1 does not hold, then  $pk'_m = pk_m$ . Let  $i$  be such that  $pk^i = pk_m$ . If  $i = i^*$ , output  $(m, \sigma'_m)$ .

# RSA signatures - prerequisites

$\mathbb{Z}_N$  := ring of integers modulo N

$\mathbb{Z}_N^*$  :=  $\{a \in \mathbb{Z}_N : \gcd(a, N) = 1\}$

$\phi(N)$  :=  $|\mathbb{Z}_N^*|$

$\gcd(a, m) = 1 \Rightarrow \exists u, v \in \mathbb{Z} \ u \cdot a + v \cdot m = 1$  (EEA)

$\Rightarrow u \cdot a = 1 \pmod m$

$\Rightarrow u = a^{-1} \pmod m$

$$N = \prod_{i=1}^K p_i^{e_i} \Rightarrow \phi(N) = \prod_{i=1}^K (p_i^{e_i} - p_i^{e_i-1}) = N \cdot \prod_{i=1}^K (1 - 1/p_i).$$

# RSA signatures

**Gen( $1^n$ ):** choose 2 random primes  $p, q \in [2^{n-1}, 2^n - 1]$ ,

$N := p \cdot q, e \leftarrow \mathbb{Z}_{\phi(N)}^*, d := e^{-1} \bmod \phi(N)$ ,

$pk := (N, e), sk := (N, d)$ .

**Sign<sub>sk</sub>(m)**  $m \in \{0, 1\}^{2n-2}$  interpreted as element in  $\mathbb{Z}_N$ ,

$\sigma := m^d \bmod N$ .

**Vrfy<sub>pk</sub>(m,  $\sigma$ )** output 1, if and only if  $\sigma^e = m \bmod N$ .



# RSA signatures - forgeries

## existential forgeries

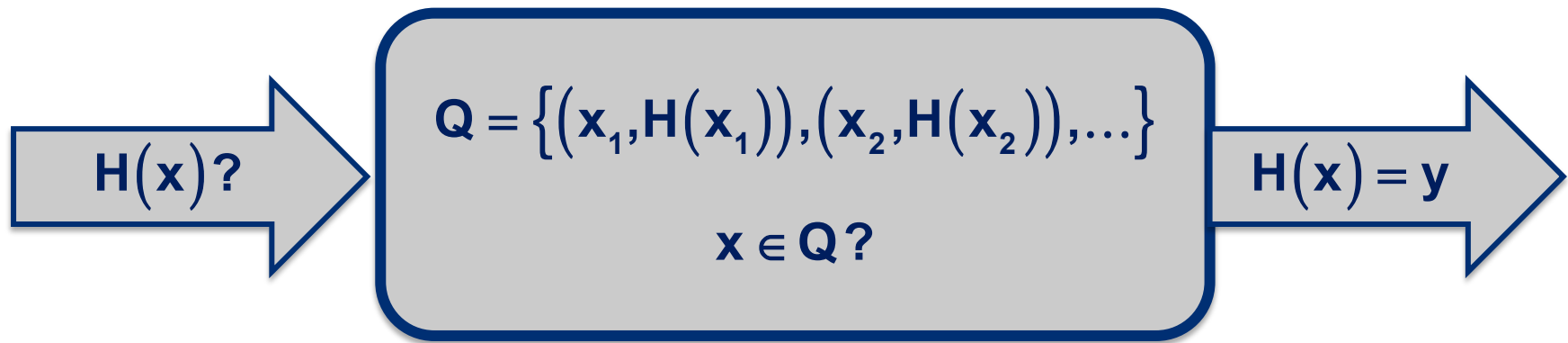
- $\text{Sign}_{sk}(0) = 0$
- $\text{Sign}_{sk}(1) = 1$
- $\text{Sign}_{sk}(-1) = -1$

## selective forgery of $\text{Sign}_{sk}(m)$

- query signature oracle with input  $\hat{m} := 2^e m \bmod N$  and obtain  $\hat{\sigma}$ .
- compute  $\sigma = 2^{-1} \hat{\sigma} \bmod N$ .

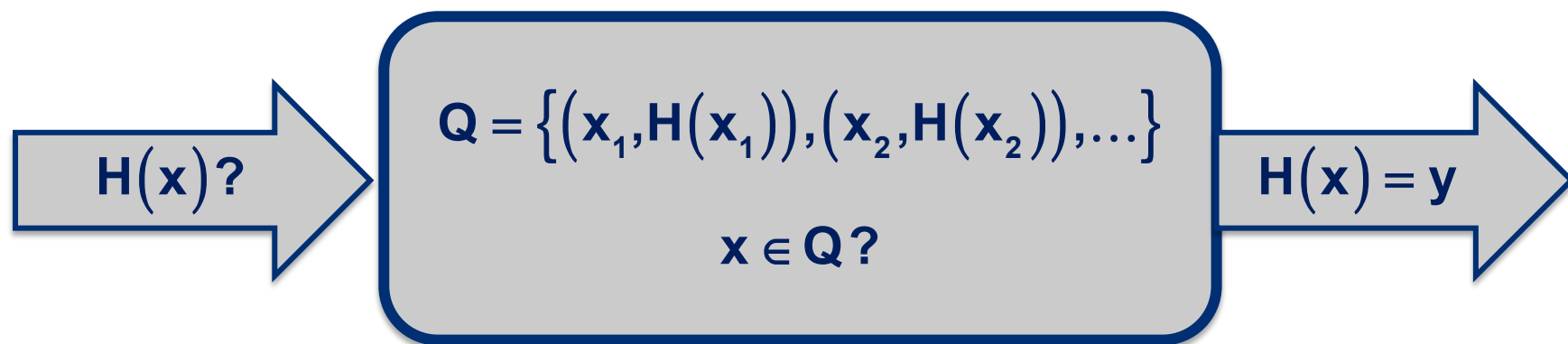
# Random oracle model (ROM)

**Goal** Construct  $H: \{0,1\}^* \rightarrow R, |R| < \infty$ , "random" function.



- If  $x = x_i$  for  $x_i \in Q$ , return  $H(x_i)$ .
- If  $x \neq x_i$  for all  $x_i \in Q$ ,
  - a)  $y \leftarrow R$
  - b) return  $H(x) = y$
  - c) add pair  $(x, H(x))$  to  $Q$

# Random oracle model (ROM)



- Random oracle model idealization of
  - one-way functions
  - random functions
  - collision-resistant hash functions.
- In practice they can not be implemented in this form.
- Often collision-resistant hash functions used instead.

# RSA-Full-Domain-Hash (RSA-FDH)

By **Gen** denote an algorithm that on input  $1^n$  computes 2 random primes  $p, q \in [2^{n-1}, 2^n - 1], p \neq q$ , sets  $N = p \cdot q$ , chooses  $e \leftarrow \mathbb{Z}_{\phi(N)}^*$ , sets  $d := e^{-1} \bmod \phi(N)$ , and outputs  $\text{pk} := (N, e), \text{sk} := (N, d)$ .

## Construction 2.17 (RSA-FDH)

- Run **Gen**( $1^n$ ) to obtain  $\text{pk} := (N, e)$  and  $\text{sk} := (N, d)$ .

Let  $H: \{0, 1\}^* \rightarrow \mathbb{Z}_N$  be modeled as a random oracle.

- **Sign** on input  $m \in \{0, 1\}^*$  and  $(N, d)$  outputs

$$\sigma := (H(m))^d \bmod N.$$

- **Vrfy** on input  $m, \sigma, (N, e)$  outputs  $1 \iff \sigma^e = H(m) \bmod N$ .

# RSA assumption

## RSA inverting game $\text{RSA-inv}_{A,\text{Gen}}(n)$

1. Run Gen to obtain  $(N, e)$ .
2.  $y \leftarrow \mathbb{Z}_N$ .
3. A is given  $(N, e)$  and  $y$ . A outputs  $x \in \mathbb{Z}_N$ .
4. Output of experiment is 1, if and only if  $x^e = y \pmod N$ .

Write  $\text{RSA-inv}_{A,\text{Gen}}(n) = 1$ , if output is 1.

**Definition 2.18** The RSA problem is hard relative to the generation algorithm Gen if for every ppt adversary A there is a negligible function  $\mu : \mathbb{N} \rightarrow \mathbb{R}^+$  such that

$$\Pr[\text{RSA-inv}_{A,\text{Gen}}(n) = 1] \leq \mu(n).$$

# RSA assumption

## Construction 2.19 (RSA-FDH)

- Run  $\text{Gen}(1^n)$  to obtain  $\text{pk} := (N, e)$  and  $\text{sk} := (N, d)$ .

Let  $H: \{0, 1\}^* \rightarrow \mathbb{Z}_N$  be modeled as a random oracle.

- Sign on input  $m \in \{0, 1\}^*$  and  $(N, d)$  outputs

$$\sigma := (H(m))^d \bmod N.$$

- on input  $m, \sigma, (N, e)$  output  $1 \Leftrightarrow \sigma^e = H(m) \bmod N$ .

**Theorem 2.20** If the RSA problem is hard relative to the generation algorithm  $\text{Gen}$ , then RSA-FDH (Construction 2.19) is existentially unforgeable under an adaptive chosen-message attack.

# From forger to inverter

## Signature forging game $\text{Sig-forge}_{A,\Pi}(n)$

1.  $(pk, sk) \leftarrow \text{Gen}(1^n)$ .
2.  $A$  is given  $1^n, pk$  and oracle access to  $\text{Sign}_{sk}(\cdot)$ . It outputs pair  $(m, \sigma)$ .  $\mathcal{Q} :=$  set of queries made by  $A$  to  $\text{Sign}_{sk}(\cdot)$ .
3. Output of experiment is 1, if and only if (1)  $\text{Vrfy}_{pk}(m, \sigma) = 1$ , and (2)  $m \notin \mathcal{Q}$ .

### Assume:

1.  $A$  never queries for the same hash value twice.
2. Before querying  $\text{Sign}_{sk}(\cdot)$  on message  $m$ ,  $A$  queries  $H(\cdot)$  on  $m$ .

Let  $q = q(n)$  denote number of hash queries made  $A$ ,  
 $q$  bounded by polynomial in  $n$ .

# From forger to inverter

I on input  $(N, e, y^*)$

1. Choose  $j \leftarrow \{1, \dots, q\}$ .
2. Simulate  $A$  with public key  $(N, e)$ . Table  $T$  stores triples  $(m_i, \sigma_i, y_i)$  with meaning that  $I$  has set  $H(m_i) = y_i$  and  $\sigma_i^e = y_i \pmod N$ .
3. When  $A$  makes  $i$ -th random oracle query  $H(m_i)$ , do
  - if  $i = j$ , return  $y^*$
  - otherwise,  $\sigma_i \leftarrow \mathbb{Z}_N, y_i := [\sigma_i^e \pmod N]$ , return  $y_i$ , add  $(m_i, \sigma_i, y_i)$  to  $T$ .

When  $A$  makes signature query  $m = m_i$ , do

- if  $i \neq j$ , then  $T$  contains triple  $(m_i, \sigma_i, y_i)$ , return  $\sigma_i$ .
  - if  $i = j$ , then abort experiment.
4. Let  $(m, \sigma)$  be  $A$ 's output. If  $m = m_j$  and  $\sigma^e = y^* \pmod N$ , then output  $\sigma$ .



# Certificates and trusted authorities

How can we guarantee that  $pk_A$  belongs to A?

- certificates from trusted authorities (TA)
- certificates are signatures
- leads to hierarchie of certificates/signatures
- must stop at (really) trusted authority